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REISSUE APPLICATION: CONSENT OF ASSIGN	Docket Number (Optional) 153501-0375
STATEMENT OF NON-ASSIGNMENT	153501-0375
This is part of the application for a reissue patent based on the o	riginal patent identified below.
Name of Patentee(s) Capstone Turbine Corp	
Patent Number 5,915,841	Date Patent Issued June 29, 1999
Title of Invention COMPLIANT FOIL FLUID FILM	RADIAL BEARING
1. Filed herein is a statement under 37 CFR 3.73(b).	(Form PTO/SB/96)
2. Ownership of the patent is in the inventor(s), and no	assignment of the patent is in effect.
One of boxes 1 or 2 above must be checked. If multiple assignee box 2 is checked, skip the next entry and go directly to "Name of	
The written consent of all assignees and inventors owning an unconstant is included in this application for reissue.	divided interest in the original
The assignee(s) owning an undivided interest in said original pate and the assignee(s) consents to the accompanying application fo	ent is/are Capstone Turbine or reissue. Corporation
Name of assignee/inventor (if not assigned) Capstone Turbine Corporation	
Signature	Date
Typed or printed name and title of person signing for assignee (if	assigned)
Jeffrey Watts, Chief Financial Off	ficer

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re reissu	e a	pplication of:	` ' '
U. S. Paten	t N	o: <b>5,915,841</b>	
Issued	:	June 29, 1999	1
Inventor(s)	:	Weissert, Dennis H.	7 7 7
For RADIAL BE		COMPLIANT FOIL FLUID FILM ING	

# ASSENT OF ASSIGNEE AND CERTIFICATION UNDER 37 CFR 3.73(b)

Commissioner for Patents Washington, D.C. 20231

Sir:

Capstone Turbine Corporation, assignee of the entire interest in the above-referenced letters patent, hereby assents to the accompanying application for reissue.

Capstone Turbine Corporation, ("assignee"), a corporation having a place of business at 21211 Nordhoff Street, Chatsworth, California 91311, certifies that to the best of assignee's knowledge and belief it is the assignee of the entire right, title, and interest in and to the above-referenced patent and represents that the undersigned is a representative authorized and empowered to sign on behalf of the assignee.

	CAPSTONE TURBINE CORPORATION
Dated:	
	By: Jeffrey Watts
	Its: Chief Financial Officer

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# Docket Number (Optional) REISSUE APPLICATION DECLARATION BY THE INVENTOR 153501-0375 As a below named inventor, I hereby declare that: My residence, mailing address and citizenship are stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number 5,918,841 granted June 29, 1999 , and for which a reissue patent is sought on the invention entitled COMPLIANT FOIL FLUID FILM RADIAL the specification of which X is attached hereto. was filed on \_\_\_ \_\_\_\_\_as reissue application number \_\_\_\_/\_\_\_/ and was amended on \_\_\_\_\_ (If applicable) I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56. I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.) by reason of a defective specification or drawing. X by reason of the patentee claiming more or less than he had the right to claim in the patent. by reason of other errors. At least one error upon which reissue is based is described below. If the reissue is a broadening reissue, such must be stated with an explanation as to the nature of the broadening: The full breadth of available dependent claims was not captured in the patent as allowed.

Under the Paperwork Re	duction Act	of 1995, no persons are required to re	spond to	a collection of in	formation			
(REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)  Docket Number (Optional) 153501-0375								
applicant. As a na	med inve	s reissue application aros entor, I hereby appoint the business in the United Stat	follow	ing attorney	y(s) and	d/or age	ent(s) to pr	osecute this
application and transact all business in the United States Patent and Trademark Office connected therewith.  Name(s) Registration Number								
Correspondence A	ddress: I	Direct all communications a	about tl	ne applicati	on to:	- "-		
X Customer Nu	ımber	29000		_	<b></b>	Place (	Customer I	Number Bar
		Type Customer Num	nber he	ere		Code L	abel here	
Firm or Individual Name	Ire]	ll & Manella						
Address	1800	Avenue of the	Star	s				
Address	#900	)		<b>T</b>				
City	Los	Angeles		State	CA		Zip	90067
Country	USA			<del></del>	г			
Telephone	(310			Fax			03-719	
made on informatio the knowledge that or both, under 18 that application, any pate	n and be willful fa U.S.C. 1 ent issui	atements made herein of a elief are believed to be true alse statements and the like 001, and that such willful ng thereon, or any patent to	e; and e so m false : o which	further that ade are pu statements	these nishabl may je	stateme e by fin eopardiz	ents were e and imp e the vali	made with risonment,
Dennis H		entor (given name, family na Lssert	ame)					
Inventor's signature Date						· · · · · · · · · · · · · · · · · · ·		
Residence 1050	00 She	erman Grove, #10 California 91040		Citizenship U.S.A.				
Mailing Address 1(	0500	Sherman Grove, #	, 102,	Sunla	nd,	Cali	fornia	91040
Full name of second	joint inv	rentor (given name, family r	name)					
Inventor's signature Date						7-72-100-1		
Residence			С	Citizenship				
Mailing Address			· · · · · · · ·					- 200
Full name of third joi	nt invent	tor (given name, family nam	1e)					······································
Inventor's signature			D	ate				
Residence			С	itizenship				
Mailing Address			L					. ,. ,
Additional joint inven	itors are na	amed on separately numbered sh	neets atta	ached hereto.				

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Reissue Patent Application of:

Inventor(s): Dennis H. Weissert

Serial No. : to be determined

Filing Date: Herewith

For : COMPLIANT FOIL FLUID

FILM RADIAL BEARING

Original Patent No.: 5,915,841

Original Issue Date: June 29, 1999

**CERTIFICATE OF EXPRESS MAILING** 

Date of Deposit: June 29, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service as Express Mail (Express Mail No. **EL088714715US**) on the date indicated above and is addressed to Box REISSUE, Commissioner for Patents, Washington, D.C. 20231.

Janet Kornbluth

Typed or Printed Name of Person Mailing Paper or Fee

Signature of Person Mailing Paper or Fee

### <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR 1.97(b)(1) and (3)</u>

Box REISSUE Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §§ 1.97 and 1.98, this Information Disclosure Statement is submitted for the purpose of bringing to the attention of the Office the items listed in the accompanying Form PTO-1449. Copies of the listed items are provided for the convenience of the Examiner. The Examiner is requested to consider and make these items of information of official record in the Application.

The items identified in this Information Disclosure Statement (IDS) may or may not be "material" pursuant to 37 CFR §1.56 and the submission thereof by Applicants shall not be construed as an admission that any such patent, publication or other information referred to therein, or any combination thereof, is material or considered to be material (37 CFR §1.97(h)) or even

qualifies as "prior art" under 35 USC §102 or §103 with respect to this invention unless specifically designated by Applicant as such.

Furthermore, the submission of the above listed items is not to be construed as a representation that an exhaustive search, or any search, has been made, or that additional information material to the examination of this Application does not exist.

This IDS is believed to be timely in that it is being submitted under 37 CFR §1.97(b)(1) and (3), that is, within three months after the filing date and before the first Office Action on the merits. Thus, no petition or fee is required. However, if the undersigned representative of Applicant is in error in this regard, then the Examiner is requested to consider this IDS as filed under §1.97(c) and is further authorized to charge any fee required by its filing to Deposit Account No. 09-0946.

Dated: June 29, 2001

Respectfully Submitted,

Paul Backofen

Registration No. 42,278

IRELL & MANELLA LLP

1800 Avenue of the Stars, Suite 900

Los Angeles, CA 90067 Telephone: (310) 277-1010 Facsimile: (310) 203-7199

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1449		Application No.:	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant:  Dennis H. Weissert		
(Use several sheets if necessary)	Filing Date:	Group:	

		U.S. PAT	TENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
	3,366,427	01/30/68	A. Silver	308	122	
	3,382,014	05/07/68	D. Marley	308	9	
	3,615,121	10/26/71	M. A. Barnett	308	9	
	3,635,534	01/18/72	M. A. Barnett	308	121	
	3,747,997	07/24/73	L. W. Winn	308	9	
	3,795,427	03/05/74	L. Licht	308	9	
	3,809,443	05/07/74	J. L. Cherubim	308	9	
	3,957,317	05/18/76	A. Silver	308	9	
	4,118,079	10/03/78	P. Newman	308	9	
	4,133,585	01/09/79	L. Licht	308	9	
	4,153,315	05/08/79	A. Silver	308	9	
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	4,178,046	12/11/79	A. Silver	308	9	
	4,195,395	04/01/80	A. Silver	29	149.5S	
	4,208,076	06/17/80	S. Gray	308	9	
	4,213,657	07/22/80	S. Gray	308	9	
	4,223,958	09/23/80	S. Gray	308	9	
	4,229,054	10/21/80	W. H. Miller	308	9	
	4,247,155	01/27/81	W. E. Fortmann	308	9	
	4,262,975	04/21/81	H. Heshmat	308	9	
	4,295,689	10/20/81	L. Licht	308	9	· · · · · · · · · · · · · · · · · · ·
	4,300,806	11/17/81	H. Heshmat	308	9	·
	4,315,660	02/16/82	J. Glienicke	308	9	
	4,371,216	02/01/83	H. Suzuki	384	118	
	4,415,280	11/15/83	G. Agrawal	384	103	
	4,415,281	11/15/583	G. Agrawal	384	106	

EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

U.S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

153501-0375

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)

Docket No.:

Application No.:

Applicant:

Dennis H. Weissert

Filing Date:

Group:

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		U.S. PAT	TENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
	4,435,839	03/06/84	A. Gu	384	103	
	4,445,792	05/01/84	R. J. Trippett	384	103	
	4,451,163	05/29/84	J. Glaser	384	106	
	4,475,824	10/09/84	J. Glaser	384	306	
	4,502,795	03/05/85	R. M. Klaass	384	103	
	4,552,466	11/12/85	E. L. Warren	384	103	
	4,555,187	11/26/85	R. M. Klaass	384	103	
	4,616,388	10/14/86	J. Soum	29	149.5S	
	4,743,126	05/10/88	J. Soum	384	105	
	4,795,274	01/03/89	A. Gu	384	106	
	4,808,070	02/28/89	G. Fonda-Bonardi	415	110	
	4,818,123	04/04/89	A. Gu	384	106	
	4,896,975	01/30/90	F. A. Bescoby	384	114	
	4,950,089	08/21/90	A. M. Jones	384	103	
	4,961,122	10/02/90	K. Sakai	360	107	
	5,017,022	05/21/91	J. F. Ruggles	384	100	
	5,116,143	05/26/92	M. Saville	384	106	
	5,129,739	07/14/92	H. Asai	384	292	
	5,228,785	07/20/93	M. Saville	384	103	
	5,248,205	09/28/93	A. Gu	384	106	
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	5,450,719	09/19/95	D. N. Marsh	60	39.75	
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	5,518,320	05/21/96	Z. Mohamed	384	103	
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	5,584,582	12/17/96	W. R. Brown	384	106	
	5,634,723	06/03/97	G. Agrawal	384	106	

EXAMINER DATE CONSIDERED

<sup>\*</sup>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

FORM PT	O-1449		PARTMENT OF COMMERCE AND TRADEMARK OFFICE	Docket 153501		App	lication	No.:
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Ι		plicant: H. Weiss	ert			
	(Use several sheet	s if necessa	ary)	Filing I	Date:		Group:	
		U.S. PAT	TENT DOCUMEN	TS				
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLAS S	FILING IF APPRO	
	5,658,079	08/19/97	R. M. Struziak		384	106		-
	5,885,004	03/23/99	J. K. Scharrer		384	99		
	5,902,049	05/11/99	H. Heshmat		384	106		,,,
	5,911,510	06/15/99	M. Saville		384	103		
	5,911,511	06/11/99	M. Saville		384	106		
	5,915,841	06/29/99	D. H. Weissert		384	104		
	6,024,491	02/15/00	M. Bak		384	106		
	FO	OREIGN F	ATENT DOCUMI	ENTS				
	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANS YES	LATION NO

0	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	"Foil Air/Gas Bearing Technology – An Overview," Giri Agrawal, <u>The American Society of Mechanical Engineers</u> , June 1997, pp. 1-11.
	"Foil Gas Bearings for Turbomachinery," Giri Agrawal, <u>The Engineering Society for Advancing Mobility Land Sear Air and Space</u> , <u>SAE Technical Paper Series</u> , July 1990

EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant